

RAB:MPP:rmk

2633

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
ATTY. DOCKET NO. 76855-14

#2

In re Patent Application of Zhenguo Lu, et al

Serial No. 09/819,760

Group Art Unit: 2633

Filed: March 29, 2001

Examiner: Mary Benton **RECEIVED**

For: OPTICAL NOISE REDUCTION APPARATUS AND METHOD

JUL 26 2001

Technology Center 2600

### INFORMATION DISCLOSURE STATEMENT

This Information Disclosure Statement is being filed in the manner prescribed by 37 CFR 1.97(b) - (d) to satisfy the duty under 37 CFR 1.56 to disclose to the Office information, known to individuals associated with the filing and prosecution of the subject application, which is material to the examination of the application.

In accordance with 37 CFR 1.97(g) and (h), this statement is not to be construed as a representation that a search has been made or an admission that the information cited herein is, or is considered to be, material to patentability as defined in 37 CFR 1.56(b).

This information disclosure statement is being filed within three months of the filing date of a national application, within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first official action on the merits and therefore applicant respectfully requests consideration under 37 CFR 1.97(b).

In compliance with 37 CFR 1.98(a)(1), a list of all patents, publications or other information submitted for consideration by the Office is hereby provided by way of the attached Form PTO 1449.

In compliance with 37 CFR 1.98(a)(2), also enclosed is a legible copy of:

- i) each United States and foreign patent;
- ii) each publication or that portion which caused it to be listed; and
- iii) all other information or that portion which caused it to be listed, excluding any copies of a United States patent application.

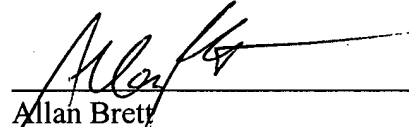
It is respectfully requested that the information be expressly considered by the Examiner and that the references be made of record and appear among the "References Cited" on any patent to issue therefrom.

The Patent Office is hereby authorized to charge any deficiency, or credit any overpayment in fees to Deposit Account Number 19-2550.

Respectfully submitted,

ZHENGUO LU, ET AL

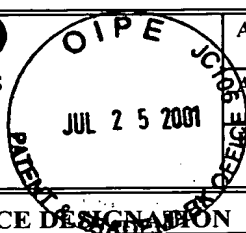
Dated: July 24, 2001

  
Allan Bretz  
Reg. No. 40,176

Smart & Biggar  
Box 2999, Station D  
55 Metcalfe Street, Suite 900  
Ottawa, Ontario  
Canada K1P 5Y6  
Telephone: (613) 232-2486 Ext. 323  
Fax: (613) 232-8440

Encls.: Form PTO-1449  
All references listed on Form PTO-1449

Form PTO-1449 (Modified)	Atty. Docket No. 76855-1	Serial No 09/819,760
<b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b> (Use several sheets if necessary)		
Applicant Zhenguo Lu, et al		Group 2633
Filing Date March 29, 2001		



### REFERENCE DESIGNATION U.S. PATENT DOCUMENTS

EXAM. INIT.		DOCUMENT NUMBER								DATE	NAME	CLASS	SUB CLASS	FIL. DATE IF APPROPRIATE
	AA	5	4	3	0	5	7	2		07/04/95	DiGiovanni, et al	359	341	
	AB	5	7	9	0	7	2	1		08/04/98	Lee	385	11	
	AC	6	1	8	1	4	6	7		01/30/01	Jung, et al	359	341	

RECEIVED

JUL 26 2001

Technology Center 2600

### FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER								DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION	
														YES	NO

### OTHER ART (including Author, Title, Date, Pertinent Pages, Etc.)

	AD		"Modelling of symmetric 2 x 2 fused-fiber couplers", Suzanne Lacroix, et al, 20 December 1994, Vol. 33, No. 36/ Applied Optics, pages 8361 to 8369.
	AE		"Optical Amplifier Noise Figure Reduction for Optical Single-Sideband Signals", Robert A. Griffin, et al, Journal of Lightwave Technology, Vol. 17, No. 10 October 1999, pages 1793 to 1796.
	AF		"Biconical-taper single-mode fiber coupler", B.S. Kawasaki, et al, July 1981, Vol. 6, No. 7, Optics Letters, pages 327 to 328.
	AG		"Dense all fiber WDM by means of Mach-Zehnder interferometer", Arnaud Symon, et al, SPIE Vol. 2695, pages 114 to 122.
EXAMINER			DATE CONSIDERED

EXAMINER:

Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.